

# Lecture 4.4

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In the first step of constructing a PTAS for the knapsack problem, we need to replace the value of each item  $x_i$  by a new value,  $value^*(x_i)$ , which is a "small" integer. A trivial approach to replacing the value of each item by a new "small" integer value would be to simply round all values up. However, this approach does not work, as:

- The values can be too large (*e.g. such that the running time becomes exponential in the number of inputs*);
- This gives no control over the approximation ratio.

For a better approach, we round each value to the next multiple of  $\Delta$ , for a suitable  $\Delta$ .

After that, we divide by  $\Delta$  to get "small" integers. All in all, we get that  $value^*(x_i) = \left\lceil \frac{value(x_i)}{\Delta} \right\rceil$ .

To pick a suitable  $\Delta$ , we consider that we need that  $Alg \geq (1 - \epsilon) \cdot OPT = OPT - \epsilon \cdot OPT$ . In other words, the total 'error' we are allowed to make in our solution is at most  $\epsilon \cdot OPT$ . Due to the rounding, the error on each item value is at most  $\Delta$ . (*This will be proven later.*) Given that there are at most  $n$  items in any solution, we have that *total error*  $\leq n \cdot \Delta$ . This means that  $\Delta$  should be picked such that  $n \cdot \Delta \leq \epsilon \cdot OPT$ . One issue with setting this to an equality is that the value of  $OPT$  is unknown. Instead, we can use a lower bound on  $OPT$ .

Thus, in the algorithm, we do:

1.  $LB \leftarrow \max_{x_i \in X} value(x_i)$  assuming  $weight(x_i) \leq W$  for all  $x_i \in X$ ; otherwise, remove violating items from the set first, since they are 'useless' anyway.
2.  $\Delta \leftarrow \frac{\epsilon}{n} \cdot LB$
3. For each  $x_i \in X$ , set  $value^*(x_i) \leftarrow \left\lceil \frac{value(x_i)}{\Delta} \right\rceil$ .  
Let  $X^*$  be the set of items with these modified values and with the same weights as before.
4.  $S \leftarrow IntValueKnapsack(X^*, W)$
5. Return subset  $S$  of items computed in step 3, but with original values.