

# Lectures 4.5 & 4.6

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To prove that the algorithm is a PTAS, we need to show that:

1. The output is valid: the selected subset  $S$  must have total weight  $\leq W$ ;
2.  $KnapsackPTAS(X, W, \epsilon) \geq (1 - \epsilon) \cdot OPT$
3. The running time is polynomial in  $n$

Since the weights have not been changed as part of the approximation scheme, the weight of the subset reported by the algorithm remains at most  $W$ ; therefore, the first condition is satisfied.

Let  $S$  be the subset of items computed by the algorithm, which is optimal for  $value^*$ .

Let  $S_{opt}$  be the optimal subset of the original values.

We need to show that  $value(S) \geq \dots \geq (1 - \epsilon) \cdot value(S_{opt}) = (1 - \epsilon) \cdot OPT$ .

We already know that  $value^*(S) \geq value^*(S_{opt})$  and that  $value^*(x_i) = \left\lceil \frac{value(x_i)}{\Delta} \right\rceil$ , where  $\Delta = \frac{\epsilon}{n} \cdot LB$  and  $LB = \max_{x_i \in X} value(x_i) \leq OPT$ . Now, we have that  $\frac{value(x_i)}{\Delta} \leq value^*(x_i) \leq \frac{value(x_i)}{\Delta} + 1$ .

We get

$$\begin{aligned} value(S) &= \sum_{x_i \in S} value(x_i) \geq \sum_{x_i \in S} \Delta \cdot (value^*(x_i) - 1) = \Delta \cdot \left( \sum_{x_i \in S} value^*(x_i) \right) - |S| \cdot \Delta \\ &\geq \Delta \cdot \left( \sum_{x_i \in S_{opt}} value^*(x_i) \right) - n \cdot \Delta \geq \left( \sum_{x_i \in S_{opt}} value(x_i) \right) - n \cdot \Delta = value(S_{opt}) - n \cdot \Delta \\ &= value(S_{opt}) - \epsilon \cdot LB \geq value(S_{opt}) - \epsilon \cdot OPT = (1 - \epsilon) \cdot OPT \end{aligned}$$

This proves out algorithm has the right approximation ratio for a PTAS:  $KnapsackPTAS(X, W, \epsilon) \geq (1 - \epsilon) \cdot OPT$ .

To show the third point, we consider that:

- The re-computation of item values takes  $O(n)$  time.
- Returning the subset  $S$  of items computed in the exact algorithm but with the original values takes  $O(n)$  time as well.
- To show the running time of the optimal algorithm, we consider that the running time of the optimal algorithm is  $O(n V_{tot})$ , where  $V_{tot}$  is the total value of the items in  $X^*$ . From the definition of  $\Delta$ , we find that  $V_{tot} = \sum_{x_i \in X} value^*(x_i) \leq n \cdot \max_i value^*(x_i) = n \cdot$

$$\max_i \left\lceil \frac{value^*(x_i)}{\Delta} \right\rceil = n \cdot \left\lceil \max_i \frac{value(x_i)}{\Delta} \right\rceil = n \cdot \left\lceil \max_i \frac{value(x_i)}{\frac{\epsilon}{n} \cdot LB} \right\rceil = n \cdot \left\lceil \frac{n}{\epsilon} \right\rceil = O\left(\frac{n^2}{\epsilon}\right), \text{ which gives that}$$

the running time of the optimal algorithm is  $O\left(\frac{n^3}{\epsilon}\right)$ .

All in all, we can conclude that the running time is polynomial in  $n$  and in  $\frac{1}{\epsilon}$ . Hence,  $KnapsackPTAS$  is an FPTAS.